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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

J1040 U.S. PTO  
09/934803  
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Applicant(s): HEIBERGER AND REED

Serial No.: To Be Determined

Filed: Herewith

For: HOMOPOLAR MACHINE WITH  
SHAFT AXIAL THRUST  
COMPENSATION FOR REDUCED  
THRUST BEARING WEAR AND  
NOISE

Group Art  
Unit: Not Yet Assigned

Examiner(s): Not Yet Assigned

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Date of Deposit August 22, 2001

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Commissioner for Patents, Washington, DC 20231.

Pat Finnerty  
(Typed or printed name of person mailing)

*Pat Finnerty*

*Pat Finnerty*  
*10-20-01*

INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE  
WITH 37 C.F.R. §§ 1.97-1.98

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

The filing of this Information Disclosure Statement is being made within three months of the filing date of the above-identified patent application, pursuant to 37 C.F.R. 1.97(b)(1), and thus, no fee is due for its submission.

Attorneys for Applicants bring to the attention of the Examiner the information listed on the attached Form PTO-1449. All of the items listed on this form were made of record during the prosecution of the parent application of which this application is a divisional. Copies of these patents are not enclosed as it is stated in 37 C.F.R. §1.98(d) that copies of such materials are not required to be provided if they were previously cited by or submitted to the Office in the prior application (herein U.S. Serial No. 09/559,240, Filed April 26, 2000) and the prior application is relied on for an earlier effective filing date under 35 U.S.C. §120.

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In accordance with 37 CFR 1.97(b), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

In accordance with the duty of disclosure imposed by 37 C.F.R. 1.56 to inform the Patent Office of all references known by Applicants or Applicants' representative that may be material to the examination of the subject application, Applicants' representative hereby provides this Information Disclosure Statement that is prepared in accordance with 37 C.F.R. 1.97-1.98 and includes Form PTO-1449 with 33 references.

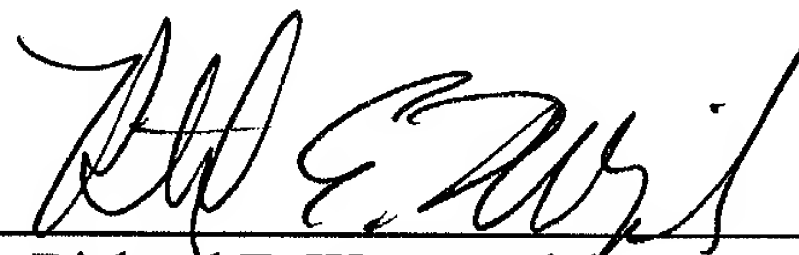
Applicants respectfully request that the Examiner review the foregoing references and that they be made of record in the file history of the above-captioned application.

Respectfully submitted,

FITCH, EVEN, TABIN & FLANNERY

August 22, 2001

By:



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